



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/806,758	08/20/2002	Malte Neuss	THIE.0009	4917

7590 01/29/2004

Reed Smith Hazel & Thomas
Suite 1400
3110 Fairview Park Drive
Falls Church, VA 22042

EXAMINER

STEWART, ALVIN J

ART UNIT	PAPER NUMBER
----------	--------------

3738

DATE MAILED: 01/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/806,758

Applicant(s)

NEUSS ET AL.

Examiner

Alvin J Stewart

Art Unit

3738

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 20 August 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 25-44 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 25-44 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 August 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 12 6) ☐ Other:

Specification

The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: the 10 to 50% smaller than the width of a strait bar is not shown in the specification.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 26, 29, and 31 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

A broad range or limitation together with a narrow range or limitation that falls within the broad range or limitation (in the same claim) is considered indefinite, since the resulting claim does not clearly set forth the metes and bounds of the patent protection desired. Note the explanation given by the Board of Patent Appeals and Interferences in *Ex parte Wu*, 10 USPQ2d 2031, 2033 (Bd. Pat. App. & Inter. 1989), as to where broad language is followed by "such as" and then narrow language. The Board stated that this can render a claim indefinite by raising a question or doubt as to whether the feature introduced by such language is (a) merely exemplary of the remainder of the claim, and therefore not required, or (b) a required feature of the claims. Note also, for example, the decisions of *Ex parte Steigewald*, 131 USPQ 74 (Bd. App. 1961); *Ex parte Hall*, 83 USPQ 38 (Bd. App. 1948); and *Ex parte Hasche*, 86 USPQ 481

Art Unit: 3738

(Bd. App. 1949). In the present instance, claim 26 recites the broad recitation 10 to 50%, and the claim also recites preferably 30% which is the narrower statement of the range/limitation.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 25-28, and 33-35 are rejected under 35 U.S.C. 102(e) as being anticipated by Cox US Patent 6,461,380 B1.

Cox discloses a stent comprising a plurality of coupled flexible zigzag annular elements (72) connected to each other by a plurality of bending elements having a bow shaped connector bars (78). Figure 3, shows bars having a width that is about 20% smaller than the width of a strait formed connector bar of the annular elements.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 29 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cox US Patent 6,461,380 B1 in view of Klein US Patent 6,602,281 B1.

Art Unit: 3738

Cox discloses the invention substantially as claimed. However, Cox does not disclose connector bars having a width greater on the proximal and distal ends than in the middle of the stent.

Klein teaches connector bars (see attachment) capable of having a width greater on the proximal and distal ends than in the middle of the stent for the purpose of increasing the radial stiffness at the ends of the stent to minimize flaring (see Figs. 2, 12 and 13 and col. 12, lines 55-67).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the Cox reference with the no flaring stent of Klein in order to increase the radial stiffness at the ends of the stent to minimize flaring.

Claims 31, 32, 36 and 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cox US Patent 6,461,380 B1 in view of Richter US Patent 5,807,404.

Cox discloses the invention substantially as claimed. However, Cox does not disclose a width of bow bars in the middle section is greater than on the proximal and distal ends and does not disclose a stent made of self-expandable nickel-titanium.

Richter teaches a self-expandable stent (see col. 5, lines 42-47) having connecting bars having a width smaller at the proximal and distal ends than in the middle of the stent for the purpose of correcting undesired effects at singular points and provide for a better fit to a vessel (see col. 8, lines 1-30).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the material property and the bars width at the proximal and distal

Art Unit: 3738

ends of the Cox reference with the material property and width of the Richter reference in order to correct undesired effects at singular points and provide for a better fit to a vessel.

Claims 38-44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cox US Patent 6,461,380 B1 in view of Wright et al US Patent 6,273,913 B1.

Cox discloses the invention substantially as claimed. However, Cox does not disclose resorbable material, polyester, drugs, and radioactive materials.

Wright et al teaches a stent having a plurality of coatings (e.g. coatings having resorbable materials (see col. 7, lines 2-8), polyester (see col. 6, lines 28-31), drugs (see col. 6, lines 25-28), and radioactive materials (see col. 5, line 19)) for the purpose of preventing inflammation and the proliferation of smooth muscle cells by providing a controlled diffusion of drugs from the stent to the artery wall (see col. 3, lines 48-52 and col. 5, lines 53-57).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the Cox stent with the plurality of coatings of the Wright et al reference in order to prevent the inflammation and the proliferation of smooth muscle cells by providing a controlled diffusion of drugs from the stent to the artery wall.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alvin J Stewart whose telephone number is 703-305-0277. The examiner can normally be reached on Monday-Friday 7:00AM-5:30PM(1 Friday B-week off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Corrine McDermott can be reached on 703-308-2111. The fax phone number for the organization where this application or proceeding is assigned is 703-305-3590.

Art Unit: 3738

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0858.

A handwritten signature in black ink, appearing to read 'Alvin Stewart', with a stylized, overlapping flourish.

Alvin Stewart
January 26, 2004.

Art Unit: 3738

ATTACHMENT:

